

PATENT

(Practitioner's Docket No. IN-5457)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Craig J. McClanahan et al.

Serial No.: 09/874,700

Filed: June 5, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: COLOR MANAGEMENT AND SOLUTION DISTRIBUTION SYSTEM AND METHOD

Commissioner of Patents  
Washington, D.C. 20231CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (fax No.: (703) 872-9310) on the date shown below:

☒ Transmittal Form on 1 page.☒ Amendment Transmittal on 4 pages in duplicate.☒ Preliminary Amendment on 2 pages.

Total pages 12.

CONDITIONAL PETITION FOR EXTENSION OF TIME

Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition for extension of time. In this event, please charge Deposit Account 23-3425 the necessary extension of time fees. This document is submitted in duplicate.

Tuesday, July 23, 2002

Date

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

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Please type a plus sign (+) inside this box

**TRANSMITTAL FORM**(to be used for all correspondence  
after initial Filing)

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Total No. of Pages in This Submission

0

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeal and Interferences
<input checked="" type="checkbox"/> Preliminary Amendment and/or Response	<input type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Checklist and	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Accompanying Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandoned Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Information Disclosure Statement/PTO-1449	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Cited References	<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<b>Official</b>
<input type="checkbox"/> Associate Power of Attorney	<input checked="" type="checkbox"/> Facsimile Transmittal	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Additional Enclosure(s) (Please identify below):

Remarks:

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SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT

Firm or Individual name

July 23, 2002  
Date  
Anne Gerry Sabourin, 33,772

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Commissioner for Patents  
Washington, DC 20231**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is  
☐ a small entity -- verified statement:  
☐ attached  
☐ already filed.  
☒ other than a small entity.

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

**FACSIMILE**

☒ transmitted by facsimile to the Patent and Trademark Office.

Date: 7/23/02

signature

Marjorie Ellis

(type or print name of person certifying)

(Amendment Transmittal [9-19]-page 1 of 4)

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## EXTENSION OF TERM

**Note:** *Extension of Time in Patent Cases (Supplement Amendments)* — If a timely and complete response has been filed after a Non-final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run. \*Notice of December 10, 1985 (1061 O.G. 34-35).

**Note:** See 37 CFR 1.646 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>
<input type="checkbox"/> one month	\$ 110.00
<input type="checkbox"/> Two months	\$ 400.00
<input type="checkbox"/> three months	\$ 920.00
<input type="checkbox"/> four months	\$1,440.00
<input type="checkbox"/> five months	\$1,960.00

### Fee

if an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_ months has already been secured and the fee paid therefor of 0.00 is deducted from the total fee due for the total \_\_ months of extension now requested.

**Extension fee due with this request**

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]--page 2 of 4)

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 1)	OTHER THAN A SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA RATE ADDIT. FEE
TOTAL .	MINUS ..	= x18= \$
INDEP. .	MINUS ...	= X84= \$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM		+290= \$
		TOTAL ADDIT. FEE \$

- . If the entry in Col. 1 is less than entry in Col. 2 write "0" in Col.3.  
 .. If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".  
 -- If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR § 1.116(a) (emphasis added).

(complete (c) or (d) as applicable)

- (c) ☒ No additional fee for claims is required.

OR

- (d) ☐ Total additional fee for claims required \$\_\_\_\_\_.

#### FEE PAYMENT

5. ☐ Attached is a check in the sum of \$

☐ Charge Account No. 23-3425 the sum of

A triplicate of this transmittal is attached.

(Amendment Transmittal [9-19]—page 3 of 4)

## FEE DEFICIENCY

an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986. (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 23-3425.

## AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 23-3425.

Reg. No.: 33,772

Anne H. Sabourin  
SIGNATURE OF ATTORNEY

Anne Gerry Sabourin  
Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation  
26701 Telegraph Road  
P.O. Address

Southfield, Michigan 48034-2442

CUSTOMER NO. 26922

(Amendment Transmittal [9-19]--page 4 of 4)

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